INF 385T: Special Topics in Information Science Natural Language Processing and Applications

Mondays 12:00 Noon-3:00PM
Location: UTA 1.208

Instructor: Dr. Abhijit Mishra (he/his)
Email: abhijitmishra@utexas.edu

Office Hours (through zoom only)
Tuesday 8:00AM - 10:00AM
Zoom link: https://utexas.zoom.us/j/8979599959
Meeting ID: 897 959 9959
(Install zoom and Login using UT credentials)

Canvas: https://utexas.instructure.com/courses/1367023

Communication and Asking for Help
Please ask all questions that are applicable to the entire class on Canvas, so that others may benefit from the discussion. Only use email for questions unique to individual circumstances; in those cases, please address all questions to abhijitmishra@utexas.edu

Course Description
Natural Language Processing (NLP) is concerned with interactions between computers and humans through the medium of human languages. It involves analyzing, understanding, and generating human language, making it possible for machines to interpret and respond to human speech and text. NLP is currently making significant contributions to modern technological advancements and serves as the backbone of crucial applications such as question answering, human language translation, summarization, sentiment and emotion analysis, search and recommendation, and information extraction in various domains such as healthcare, finance, legal, libraries and education and beyond.

The proposed graduate-level course aims to cover fundamental concepts in Natural Language Processing / Computational Linguistics and how they are used to solve real-world problems. Classes in each week will be divided into two segments: (a) Theory and Methods, a concise description of an NLP concept, and (b) Practicum, a hands-on session on applying the theory to a real-world task on publicly available multilingual text datasets.

Intention and Objectives
The objective of the course is to provide a bird’s eye view of the field of NLP and enable students to make informed decisions while choosing from different career options, i.e., working on an NLP-based cutting-edge product, joining the industry in an NLP-centric role, or pursuing a doctoral study or conducting doctoral research specializing in NLP or computational linguistics.

By the end of the course, the goals for the students are to:

1. Understand the process of garnering and pre-processing a large amount of multilingual textual data from various domains and sources.
2. Characterize the processes to store, load, pre-process multilingual data and apply language processing operations such as normalization, tokenization, lemmatization, chunking and machine readable representation (vector) extraction.

3. Train machine learning algorithms for natural language understanding and generation and evaluate their performance.

4. Learn to extract information from unstructured text and represent them in the form of knowledge graphs.

5. Learn to use existing knowledge graphs, ontologies and lexical knowledge networks for predictive analysis on text.

6. Propose a novel product/research-focused idea (this will be an iterative process), design and execute experiments, and present the findings and demos to a suitable audience (in this case, the class).

Prerequisites

[1] INF 380P: Introduction to Programming in Python or equivalent programming coursework

The proposed ML is applied in nature and there is a lab session in each class where students will code in Python. While the instructor will provide handouts for python basics, there is no way a student without any knowledge in programming will be able to pick up and fully participate in classes. Hence, INF380P (or equivalent programming course) is a necessary prerequisite.

[2] INF385T-Introduction to Machine Learning or equivalent ML coursework

Students are expected to have been exposed to harnessing and processing data, and apply traditional machine learning algorithms (such as Logistic Regression, SVMs, Decision Trees and Feed Forward networks). INF385T may be treated as a co-requisite but it is preferable to complete INF385T before registering for the NLP course. Alternatively, students may opt for a combination of the following courses (or courses that are similar in nature):

- SDS 321 - Introduction to Probability and Statistics
- SDS 323 - Statistical Learning and Inference
- CS-329E: Elements of Data Analytics
- INF385T - Artificial Intelligence in Health

OR Undergraduate Courses:

I310D: Introduction to Human Centered Data Science
I320D: Topics in Data Science – Applied Machine Learning with Python

Instruction Modality

Class meetings will be in person, with some exceptions, dependent on the state of the COVID-19 pandemic. Only if we are unable to meet in person, classes will be held virtually via Zoom. The classes will consist of a mixture of lectures and hands-on sessions. As of now, there are no plans to record lectures.

Accommodations for Students with Disabilities

The university is committed to creating an accessible and inclusive learning environment consistent with university policy and federal and state law. Please let me know if you experience any barriers to learning so I can work with you to ensure you have equal opportunity to participate fully in this course. If you are a student with a disability, or think you may have a disability, and need accommodations please contact Services for Students with Disabilities (SSD). Please refer to SSD’s website for contact and more information:
http://diversity.utexas.edu/disability/. If you are already registered with SSD, please deliver your Accommodation Letter to me as early as possible in the semester so we can discuss your approved accommodations and needs in this course.

**Required Materials**

There is no required textbook for this course. While most of the reading material will be available online at no cost, the following books should be accessed and / or purchased.

**Required books:**


**Books that can be optionally purchased (optional)**

**Required Devices**

This course requires students to bring their laptop computers, although it is device agnostic (PC and Mac preferable but do let me know beforehand if you are working with any customized hardware+ OS, something like Raspberry PI board + Linux). Students will be required to install Python, SQL and Jupyter notebooks. For resource heavy exercises, we may use Google Colaboratory.

**Class Participation**

Students are expected to attend every class and actively engage themselves in class discussions and complete the lab tutorial at the end of every session. They may proof-read, polish and submit the tutorial by 11:59PM on the class day.

**Assignments and Course Project**

The class format is split between reading and coding assignments for the first half of the semester followed by a project the second half of the semester.

1. **Assignments**

FIVE assignments will be given in the first half of the semester. Each assignment may have either (a) a theoretical question based on weekly assigned readings or (b) a coding exercise similar to the practicum or both. Assignments are intended to bring conceptual clarity, stimulate computational thinking and emulate practical NLP implementation scenarios. Moreover, students will be encouraged to reuse the code from the coding assignments in their course projects.
2. Course Project
The goal of the course project is to promote effective planning, execution, and communication of an original NLP-centric product/research idea. Assignments related to the course project will be related to (a) Project Planning (b) Gathering Resources (c) Experiment Design and Execution, and (d) Preparing presentation, report, and demo. Students will be required to present before the class and prepare and submit a report in the prescribed format provided during project announcement in Canvas.

Examination:
A single in-class quiz, worth a total of 50 points, will be administered. The quiz will comprise a maximum of 5 questions and is scheduled to last no longer than 2 hours. This examination is designed to be open book/notes; however, access to the internet will not be allowed.

Important Dates:
1. October 13, 2023: Group Formation for Project/Activities
2. October 30, 2023: In-class Quiz (5 questions, 50 points)
3. November 6, 2023: Preliminary proposal due for group project
4. November 27, 2023: Group project work in progress presentation and feedback soliciting (5 minutes per group and counted towards class activity)
5. December 4: Final presentation of group project (Max. 15 minutes per group)
6. December 8: Final project-report submission
7. December 12 (tentative): Submission of final grades by the instructor

For holidays and breaks and other important dates visit UT academic calendar here https://registrar.utexas.edu/calendars/23-24

Late Work and Missed Work
In an effort to accommodate any unexpected personal events, I have enacted a grace policy of two days for this course. You do not have to utilize this policy, but if you find yourself struggling with unexpected personal events, I encourage you to email me as soon as possible (in advance of the due date) to notify me that you are using our grace policy. You may either have a two-day grace period for one assignment, or you may have 2 one-day extensions for two different assignments. The only absences that will be considered excused are for religious holidays or extenuating circumstances due to an emergency. If you plan to miss class due to observance of a religious holiday, please let us know at least two weeks in advance. You will not be penalized for this absence, although you will still be responsible for any work you will miss on that day if applicable. In the event of an unexcused absence, we do not guarantee the opportunity to make up missed in-class work, but one may be granted. Check with us for details or arrangements.

Grading Policies
Course grades will be made up of the following components. Final letter grades will be awarded according to the grade cutoffs below, including pluses and minuses.

<table>
<thead>
<tr>
<th>Grade Component</th>
<th>Percentage</th>
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<tbody>
<tr>
<td>Attendance, Participation in class and Lab Completion</td>
<td>20%</td>
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<tr>
<td>In-class quiz</td>
<td>10%</td>
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All instructions, assignments, readings, rubrics and essential information will be on the Canvas website. Check the site regularly and use it to ask questions about the course schedule. Changes to the schedule may be made at my discretion and if circumstances require. For example, we might want to slow down, speed up or drop certain topics depending on student input. It is your responsibility to note these changes when announced. Moreover, please note that the readings provided are preliminary and may be supplemented with additional resources as the course progresses.

1. WEEK 1. Introduction to Natural Language Processing

Lecture(s): Course Overview, Ambiguity, Multilinguality Fundamentals layers of NLP, Applications

Practicum(s): Python Basics and File, String and Document Processing, Frequency Analysis and Visualization

Readings: None

Optional Readings:

2. WEEK 2. Lexical Analytics : WORDS
Lecture(s) : Morphology and morphological diversities across close and distant languages, representing words, featurizing words, word-vectors, distributional semantics

Practicum: Week 1 practicum continued, Processing and cleaning textual data, text normalization, morphological analysis (stemming and lemmatization), Feature extraction from words, word vectors

Readings:


https://medium.com/sfu-cspmp/nlp-word-embedding-techniques-for-text-analysis-ec4e91bb886f

Optional Readings:

Assignment 1: Keyword extraction from text (Posted on Canvas)

3. WEEK 3. NO CLASS (Labor Holiday)

4. WEEK 4. Syntax Analysis : SENTENCES

Lecture(s) : Grammar and languages, Representing syntax, Shallow and Deep Parsing, Part of Speech Tagging, Constituency and Dependency parsing

Practicum : Using existing part of speech taggers, using existing Noun-clunkers, Multiword expressions extraction

Readings (skip the machine learning related portions):


Optional Readings (skip the machine learning related portions):


Assignment 2: Noun compound and multiword expressions (Posted on Canvas)

5. WEEK 5. Entity and Relationship Extraction- Approaches
**Lecture(s)**: Entity and relationship extraction, BIO Tagging details, Entities and Knowledge Graphs

**Practicum**: Relation extraction in healthcare, building knowledge graphs from text

**Readings:**
1. [Chapter 13 Section 13.5. Book: Jurafsky, D., & Manning, C. (2012). Natural language processing. Instructor, 212(998), 3482.](#)
2. Handout on Named Entity Recognition [https://web.stanford.edu/class/archive/cs/cs224n/cs224n.1106/handouts/InfoExtract-cs224n-2010-1up.pdf](https://web.stanford.edu/class/archive/cs/cs224n/cs224n.1106/handouts/InfoExtract-cs224n-2010-1up.pdf)

**Optional Readings:**

**Assignment 3**: Multilingual entity tagging and evaluation

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**Lecture(s)**: Classification and clustering Algorithms, Feature Engineering,

**Practicum**: Implementing text classifiers, feature engineering, evaluating model performance

**Readings:**

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7. **WEEK7. Structured Machine Learning**

**Lecture(s)**: Sequence Labeling Problems, sequence to sequence learning, generative models, Hidden Markov Models

**Practicum**: Training PoS taggers from scratch using HMMs

**Readings (focus on the machine learning related portions):**

**Optional Readings (focus on the machine learning related portions):**

**Assignment 4**: Training of open source PoS taggers on finance data, financial storytelling based on PoS tags

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8. **WEEK8. Deep learning for NLP - I**
Lecture(s): Introduction to Neural Networks and Back propagation, recurrent neural networks and Transformers

Practicum: Text classification using Neural Networks using PyTorch, using Recurrent Neural Networks for entity tagging

Readings:

Optional Readings:


Lecture(s): Unsupervised and transfer learning. Introduction to Pre-training and Language Models

Practicum: Fine tuning and k-shot classification with BERT and GPT-2 for text classification task

Readings:

Optional Readings:

Assignment 5: Vector based text retrieval for library book search

10. WEEK10. NLP Applications

Lectures: Machine Translation, Question Answering, Summarization and Topic Modeling / Keyword extraction (non-detailed, details to be covered in an advanced course if offered)

Practicum: Text summarization example

Readings:
11. WEEK11. In class QUIZ + Large Language Models and Prompt Engineering

**Lecture(s):** Prompt based Large Language Models, Transfer learning and reinforcement learning as the basis of LLMs, RLHF, LLMs and applications

**Practicum:** None

**Readings:** None

**Quiz:** In-class “open-book” quiz on topics covered so far

12. WEEK12. Large Language Models and Prompt Engineering (cont..)

**Lecture(s):** Prompt based Large Language Models, Transfer learning and reinforcement learning as the basis of LLMs, RLHF, LLMs and applications

**Practicum:** Prompting and prompt-chaining of open sourced LLMs for different NLP tasks

**Readings:**

**Project:** Proposal and planning document due

13. WEEK13. Knowledge Networks + Pragmatics in NLP

**Lecture(s):** Knowledge networks and Ontology, WordNet, ConceptNet and DBPedia

**Practicum:** Feature extraction using lexical knowledge nets for fact verification in LLMs

**Readings:**

14. WEEK14. Fall Break (NO CLASS)

15. WEEK 15. Project Progress Update + Career in NLP, Research Programs

**Presentation:** In class project progress presentation (5 minutes per group)

**Lecture:** Career in NLP, Jobs and PhD Programs, Interesting Topics for Summer Research / Independent Studies

**Readings:** None
16. WEEK 16. Final Project Presentation with Demo

17. WEEK 17. No Exam
Project: Final project report due

Mantra for Student Success: Navigating the NLP Course

- Achieve higher attendance, aiming for 100% to maximize exposure and engagement during lectures and practical exercises.
- Submit practicums and assignments promptly, recognizing that minor errors can be overlooked while focusing on continuous improvement.
- Prioritize transparency by appropriately citing tools, resources, and data sources, showcasing your commitment to ethical and accountable work.
- Approach in-class quizzes with a clear understanding and well-organized thoughts, leveraging your conceptual clarity to excel.
- If programming presents challenges, embrace deliberate practice to strengthen your skills and confidently navigate technical aspects.
- Embrace iteration as you prepare presentations, ensuring impactful task demonstrations, comprehensive analyses, and well-structured reports.
- Recognize that success in the NLP course is a result of these concerted efforts, culminating in your growth as a proficient and accomplished NLP practitioner.

Academic Integrity
Students who violate University rules on academic dishonesty are subject to disciplinary penalties, including the possibility of failure in the course and/or dismissal from the University. Since such dishonesty harms the individual, all students, and the integrity of the University, policies on academic dishonesty will be strictly enforced. For further information, please visit the Student Conduct and Academic Integrity website at http://deanofstudents.utexas.edu/conduct.

AI Tools Usage Policy:
The utilization of AI-powered tools, including platforms like ChatGPT, DALL-E, or any other large language/image generative models, to create content such as text, code, images, multimedia, or any related materials intended for assignments, quizzes, or projects that contribute directly to the evaluation of grades within this course is strictly proscribed. Exceptions to this rule apply only if the incorporation of such systems aligns with the specified objectives of the assignment or project. Breaching this policy may result in the initiation of proceedings related to student misconduct.

Should there be any suspicion surrounding the content submitted by a student, suggesting the involvement of an AI tool, I retain the authority to request clarification from the student. This clarification may be sought through email communication or arranged verbal discussions in the form of one-on-one meetings. In the event of any inconsistencies between the provided explanations and the submitted solutions, I reserve the right to instigate misconduct proceedings against the concerned student. Upon enrolling in this course, students inherently express their agreement to adhere to this policy as well as any forthcoming policies described below.

Course Material Sharing Policy
Unauthorized sharing or distribution of lecture notes, slides, or examination questions is strictly prohibited without prior permission from the instructors. Failure to adhere to this policy may result in the initiation of legal actions. In the event that class should be recorded, class recordings are reserved only for students in this class for educational purposes and are protected under FERPA. The recordings should not be shared outside the
class in any form. Violation of these restrictions by a student could lead to Student Misconduct proceedings.

**Religious Holy Days**
By [UT Austin policy](https://www.utexas.edu/services/religion/), you must notify me of your pending absence as far in advance as possible of the date of observance of a religious holy day. If you must miss a class, an examination, a work assignment, or a project in order to observe a religious holy day, you will be given an opportunity to complete the missed work within a reasonable time after the absence.

**Names and Pronouns**
Professional courtesy and sensitivity are especially important with respect to individuals and topics dealing with differences of race, culture, religion, politics, sexual orientation, gender, gender variance, and nationalities. I will gladly honor your request to address you by your chosen name and by the gender pronouns you use. Class rosters are provided to the instructor with the student’s chosen (not legal) name, if you have provided one. If you wish to provide or update a chosen name, that can be done easily at this page, and you can add your pronouns to Canvas.

**Basic Needs Security**
Any student who faces challenges securing their food or housing and believes this may affect their performance in the course is urged to contact the Dean of Students for support. UT maintains the [UT Outpost](https://www.utexas.edu/services/campus-security/ut-outpost) which is a free on-campus food pantry and career closet.

**Mental Health Support**
I urge students who are struggling for any reason and who believe that it might impact their performance in the course to reach out to me if they feel comfortable. This will allow me to provide any resources or accommodations that I can. If immediate mental health assistance is needed, call the Counseling and Mental Health Center (CMHC) at 512-471-3515 or you may also contact Bryce Moffett, LCSW (iSchool CARE counselor) at 512-232-2983. Outside CMHC business hours (8a.m.-5p.m., Monday-Friday), contact the CMHC 24/7 Crisis Line at 512-471-2255.

**Land Acknowledgement**
I would like to acknowledge that we are meeting on the Indigenous lands of Turtle Island, the ancestral name for what now is called North America. Moreover, I would like to acknowledge the Alabama-Coushatta, Caddo, Carrizo/Comecurdo, Coahuiltecan, Comanche, Kickapoo, Lipan Apache, Tonkawa and Ysleta Del Sur Pueblo, and all the American Indian and Indigenous Peoples and communities who have been or have become a part of these lands and territories in Texas.

**Title IX Reporting**
Title IX is a federal law that protects against sex and gender-based discrimination, sexual harassment, sexual assault, unprofessional or inappropriate conduct of a sexual nature, dating/domestic violence and stalking at federally funded educational institutions. UT Austin is committed to fostering a learning and working environment free from discrimination in all its forms. When unprofessional or inappropriate conduct of a sexual nature occurs in our community, the university can:
1. Intervene to prevent harmful behavior from continuing or escalating.
2. Provide support and remedies to students and employees who have experienced harm or have become involved in a Title IX investigation.
3. Investigate and discipline violations of the university’s relevant policies.
Beginning January 1, 2020, Texas Senate Bill 212 requires all employees of Texas universities, including faculty, report any information to the Title IX Office regarding sexual harassment, sexual assault, dating violence and stalking that is disclosed to them. Texas law requires that all employees who witness or receive any information of this type (including, but not limited to, writing assignments, class discussions, or one-on-one conversations) must be reported. I am a Responsible Employee and must report any Title IX related incidents that are disclosed in writing, discussion, or one-on-one. Before talking with me, or with any faculty or staff member about a Title IX related incident, be sure to ask whether they are a responsible employee. If you would like to speak with someone who can provide support or remedies without making an official report to the university, please email advocate@austin.utexas.edu. For more information about reporting options and resources, visit http://www.titleix.utexas.edu/, contact the Title IX Office via email at titleix@austin.utexas.edu, or call 512-471-0419.

Although graduate teaching and research assistants are not subject to Texas Senate Bill 212, they are still mandatory reporters under Federal Title IX laws and are required to report a wide range of behaviors we refer to as unprofessional or inappropriate conduct of a sexual nature, including the types of conduct covered under Texas Senate Bill 212. The Title IX office has developed supportive ways to respond to a survivor and compiled campus resources to support survivors.