

INF 389R: Introduction to Archival Enterprise I, Fall 2021
Unique No.: 28925

INSTRUCTOR

Professor: Ciaran B. Trace
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Office: UTA 5.452
Office Hours: Tuesday, 2:30 to 4:30 pm [Zoom] and by appointment [Zoom]

COURSE MEETING TIMES

Tuesday, 8:30am to 12:30pm (1.208)

COURSE DESCRIPTION

An introduction to the principles and practice of appraisal, acquisition, preservation, reference service, and administration of institutional and collected archives and of archival repositories.

COURSE OBJECTIVES

By the end of the course, students will be able to:

- Understand and evaluate the history, theory, and practice of archival enterprise with regard to handling the documentation (the record) from acquisition to and through use, both in the hardcopy and digital environment
- Understand and analyze classical, contemporary, and emerging models of practice (particularly as it relates to technology and the processing and management of born digital records)
- Understand and analyze archival activities in a broader national and international context
- Understand and incorporate ethical and legal considerations and culturally responsible approaches to archival work
- Understand the relationship and the connections between archives and allied areas (such as digital curation, digital humanities, digital libraries, knowledge management, and digital forensics)
- Demonstrate mastery of archival principles by arranging and creating a finding aid for a collection of personal papers or organizational records using appropriate standards (DACS etc.)
- Demonstrate basic competence in data structure standards: Encoded Archival Description (EAD)

PLEASE NOTE: Students enrolled in this class are expected to spend at least 50 hours outside of class time working at a local archival repository. This work will take place over the course of the semester and will involve group processing of previously unarranged and undescribed material.

CLASS RESOURCES

Required Textbooks

- *Archival Arrangement and Description*, edited by Christopher J. Prom and Thomas J. Frusciano (Chicago: Society of American Archivists, 2013). Available from the University Bookstore. Also available as a PDF, EPUB, or print version from the Society of American Archivists, <http://www.archivists.org> (List price: \$34.99; SAA Member price: \$24.99).
- *Putting Descriptive Standards to Work*, edited by Kris Kiesling and Christopher J. Prom (Chicago: Society of American Archivists, 2017). Available from the University Bookstore. Also available as a PDF or print version from the Society of American Archivists, <http://www.archivists.org> (List price: \$39.99; SAA Member price: \$29.99).
- *Photographs: Archival Care and Management* by Mary Lynn Ritzenthaler & Diane Vogt-O'Connor. Available from the University Bookstore. Available as a PDF or ePUB from the Society of American Archivists, <http://www.archivists.org> (List price: \$29.99; SAA Member price: \$19.99).
- *Describing Archives: A Content Standard*, 2021.0.0.1 (Chicago: Society of American Archivists, 2021). Cited in syllabus as DACS. Available free online at: <https://saa-ts-dacs.github.io/>.

Use of Canvas in Class

Canvas - a Web-based course management system with password-protected access – will be used to distribute course materials, to communicate and collaborate, to post announcements, and to submit assignments. You can find support for using Canvas at the ITS Help Desk at 475-9400, Monday through Friday, 8 a.m. to 6 p.m.

Use of Zoom

If a class or classes need to move online, sessions will be delivered in a synchronous remote/web-based format using the conferencing software Zoom. As necessary, students will be provided with (1) the information to access remote sessions in a reliable way and (2) a link to an invitation to the class Zoom meeting, placed under the Zoom page on Canvas and populated in the Canvas calendar. Please note that Zoom will also be the method for participating in advising sessions with the instructor this semester.

Students will need to determine in advance how best to connect to a Zoom call - using a computer with audio and video (ideal), connecting a computer for video and a phone for audio, or connecting by phone using audio only (in the case of broken/unreliable internet connection). Further advice about using Zoom (and associated technology requirements) is available on Canvas.

Any class recordings are reserved only for students in this class for educational purposes and are protected under FERPA. The recordings should not be shared outside the class in any form. Violation of this restriction by a student could lead to Student Misconduct proceedings.

COURSE ASSIGNMENTS AND WEIGHTS

1. Arrangement of the Samuel Goudsmit Papers (10% - Due Week 4). Digital copies of the Samuel Goudsmit Papers are available through Canvas. Working in groups, you are to formulate an organization for the documents identifying the groupings into which you would place the material. Hand in an outline showing how you have: [A] grouped the materials and [B] ordered the units to five levels below the fonds (subgroups, series, subseries, files, items). In parentheses, indicate the inclusive dates and number of items for each unit of documentation. Below the file level you should also include an item listing of each document. This outline should be submitted to Canvas in electronic format. For additional information about this assignment please see the course manual.

2. EAD Finding Aid (Due Week 10 – 5%). The purpose of this assignment is to have you use an XML editor and the EAD Schema, and to connect specific bits of information from the archival inventory with the appropriate EAD elements and attributes. Detailed information about how to complete the EAD assignment can be found in the course manual.

3-7. Processing a body of previously unarranged and undescribed material in an archival repository (70%). In this assignment, you will work in groups of 2-4 persons to arrange and describe a collection of personal papers or organizational records using appropriate standards (DACS). Another purpose of the project is to give you an opportunity to work for, and to observe, the staff of a functioning archives. You should plan to spend approximately 50 hours outside of class to complete this assignment. Project assignments will be announced by week two. In completing this assignment, you will produce:

- (3) **Appraisal Report and Processing Plan** (20% – Due Week 7)
- (4) **Finding Aid/Inventory** (Due Week 11)
- (5) **Edited/Final Finding Aid** (30% - Due Week 14)
- (6) **EAD Finding Aid** (10% - Due Week 14)
- (7) **Participation** (10%)

Please note that part of your participation in the practicum involves agreeing to uphold the ethical standards of conduct of the profession. With appropriate guidance, students are expected to exercise professional judgment in processing materials to ensure the authenticity, integrity, security, preservation, accessibility, and lasting cultural and historical value of diverse collections under their care while also respecting the privacy rights of donors and individuals or groups who are the subject of records.

I will do an on-site visit during week eight. For this meeting, which lasts about an hour and a half, each group is to bring a printed copy of their ARPP (including a written outline of the arrangement - to the file level - proposed for the records). We will discuss the ARPP and the arrangement you have formulated. Along with the written assignments, to fulfill

the requirements of the project, after our meeting you are to do the following in the repository: (1) sort the material into the arrangement upon which we have agreed, (2) put it in appropriate housing, and (3) as time permits, prepare folder labels.

Because the inventory is to be structured in the form prescribed by the repository in which you work, the configuration of the elements may vary from what we discuss in class. If the repository form does not give sufficient opportunity for you to convey all of the information that you think should be presented, or if it requires you to present your data in a way that you feel differs markedly from the generic format used in this class, attach a supplementary document to the inventory that you hand in explaining how you would have done the work had you been able to structure the inventory in the manner you thought appropriate. The goal is to demonstrate that you are well grounded in the kinds of and distinctions between kinds of information essential to a good archival inventory. These assignments are to be turned in via Canvas.

Evaluation: Giving appropriate credit for individual contribution to group projects is difficult since the instructor can have little knowledge of the day-to-day functioning of each group. To facilitate accurate grading of the project, I ask that at the end of the semester each student submit (via Canvas) a confidential memo crediting each member of the group, including yourself, with a percentage of contribution to the whole. The percentages must total 100. In addition, you should comment upon the work of any group member whose outstanding performance should be recognized or whose failure to contribute appropriately to the work of the group has affected the product of the group on which all are graded. Finally, you should describe any challenges that arose in the process of working as a group (coordination, motivation, effort etc.), and the strategies you utilized to address these issues. As appropriate, these observations will be considered in assigning the class participation grade.

Additional information about how to complete this assignment can be found in the course manual.

8. Class attendance (15%)

Regular attendance is critical for receiving a good grade in this course. Attendance will be taken during each class period. Absences will be excused in situations following university policy (illness, religious holy days, participation in university activities at the request of university authorities, and compelling absences beyond your control). Absences should be accompanied by timely notification (prior to class for non-emergencies) and proper documentation. If a class is missed the student should submit a 500 to 750-word review of the week's readings in lieu of attendance. This document should be emailed to me prior to the next week's class.

PLEASE NOTE: Assignments should be submitted to Canvas by 8 am on the due date. I will use the following schedule as the basis for calculating grades:

Grade	Cutoff
A	95%
A-	89%
B+	84%
B	79%

B-	74%
C+	69%
C	64%
C-	60%
F	<60%

Late Work: Please note that given the nature of the course it is generally not possible to grant extensions for assignments. If the assignment is not handed in on the due date and time you will lose half a letter grade (e.g., A becomes A-, A- becomes B+, and so on) for every 24 hours the assignment is late. Assignments will not be accepted more than 6 days past the due date.

UNIVERSITY POLICIES

University of Texas Honor Code

The core values of The University of Texas at Austin are learning, discovery, freedom, leadership, individual opportunity, and responsibility. Each member of the university is expected to uphold these values through integrity, honesty, trust, fairness, and respect toward peers and community.

Policy on Academic Integrity

A fundamental principle for any educational institution, academic integrity is highly valued and seriously regarded at The University of Texas at Austin. More specifically, you and other students are expected to maintain absolute integrity and a high standard of individual honor in scholastic work undertaken at the University. This is a basic expectation that is further reinforced by the University's Honor Code. At a minimum, you should complete any assignments, exams, and other scholastic endeavors with the utmost honesty, which requires you to:

- Acknowledge the contributions of other sources to your scholastic efforts;
- Complete your assignments independently unless expressly authorized to seek or obtain assistance in preparing them;
- Follow instructions for assignments and exams, and observe the standards of your academic discipline; and
- Avoid engaging in any form of academic dishonesty on behalf of yourself or another student.

For the official policies on academic integrity and scholastic dishonesty, please refer to Chapter 11 of the Institutional Rules on Student Services and Activities.

Prohibition on the Sharing of Course Materials

No materials used in this class, including, but not limited to, lecture hand-outs, videos, assessments (quizzes, exams, papers, projects, homework assignments), in-class materials, review sheets, and additional problem sets, may be shared online or with

anyone outside of the class without explicit, written permission of the instructor. Unauthorized sharing of materials promotes cheating. It is a violation of the University's Student Honor Code and an act of academic dishonesty. The University is well aware of the sites used for sharing materials, and any materials found on such sites that are associated with a specific student, or any suspected unauthorized sharing of materials, will be reported to Student Conduct and Academic Integrity in the Office of the Dean of Students. These reports can result in sanctions, including failure of the course.

Q Drop Policy

If you want to drop a class after the 12th class day, you will need to execute a Q drop before the Q-drop deadline, which typically occurs near the middle of the semester. Under Texas law, you are only allowed six Q drops while you are in college at any public Texas institution.

Use of E-Mail for Official Correspondence to Students

E-mail is recognized as an official mode of university correspondence; therefore, you are responsible for reading your e-mail for university and course-related information and announcements. You are responsible to keep the university informed about changes to your e-mail address. You should check your e-mail regularly and frequently—I recommend daily, but at minimum twice a week—to stay current with university-related communications, some of which may be time-critical. You can find UT Austin's policies and instructions for updating your e-mail address at <https://cio.utexas.edu/policies>.

Religious Holy Days

The University of Texas at Austin is strengthened by its global and multicultural character and is committed to diversity and equal opportunity in employment and education. This commitment includes embracing religious diversity and cultivating a community of inclusion and respect. Please notify me of your pending absence at least fourteen days prior to the date of observance of a religious holy day. If you must miss a class, an examination, a work assignment, or a project to observe a religious holy day, I will give you an opportunity to complete the missed work within a reasonable time after the absence. Students who have questions or concerns about academic accommodations for religious observance or religious beliefs may contact the [Office for Inclusion and Equity](#).

Title IX Reporting

Title IX is a federal law that protects against sex and gender-based discrimination, sexual harassment, sexual assault, sexual misconduct, dating/domestic violence and stalking at federally funded educational institutions. UT Austin is committed to fostering a learning and working environment free from discrimination in all its forms. When sexual misconduct occurs in our community, the university can:

- Intervene to prevent harmful behavior from continuing or escalating.
- Provide support and remedies to students and employees who have experienced harm or have become involved in a Title IX investigation.

- Investigate and discipline violations of the university's [relevant policies](#).

Faculty members and certain staff members are considered 'Responsible Employees' or 'Mandatory Reporters,' which means that they are required to report violations of Title IX to the Title IX Coordinator. ***I am a Responsible Employee and must report any Title IX related incidents*** that are disclosed in writing, discussion, or one-on-one. Before talking with me, or with any faculty or staff member about a Title IX related incident, be sure to ask whether they are a responsible employee. If you want to speak with someone for support or remedies without making an official report to the university, email advocate@austin.utexas.edu. For more information about reporting options and resources, visit titleix.utexas.edu or contact the Title IX Office at titleix@austin.utexas.edu.

Classroom Safety and COVID-19

COVID-19 Update: While I will post information related to the contemporary situation on campus, you are encouraged to stay up to date on the latest news as related to the student experience. <https://coronavirus.utexas.edu/students>.

To help preserve our in-person learning environment, the university recommends the following.

- [Required COVID-19 test](#) - FAQs about the COVID-19 test required to be taken within 72 hours of returning or moving into Austin residence for fall semester.
- [University Health Services COVID-19 information](#) - student portal for all university health information, processes, and guidance related to COVID-19.
- [COVID-19 health information and resources](#) - useful information and best practices to protect yourself and our community from COVID-19 infection.
- [COVID-19 Vaccinations](#) - UT Health information about where to obtain COVID-19 vaccinations on campus. Vaccinations are free and not billed to health insurance.
- [University's mask guidance](#) - make sure your recommended protective face mask covers your nose and mouth.
- [Proactive Community Testing](#) will be available throughout the semester and remains an important part of the university's efforts to protect our community. Tests are fast and free.
- [What to do if you have symptoms](#) - guidance on what to look for and instructions on what to do if you have COVID-19 symptoms.
- [Exposure action chart](#) – guidelines to help you understand if you need to test, quarantine, or isolate.
- [Student process for positive COVID-19 test](#) - self-reporting process and additional guidance for students if they have a positive COVID-19 test
- Visit utexas.edu and protect.utexas.edu for all university COVID-19 updates and information.

Important Campus Safety Information

If you have concerns about the safety or behavior of fellow students, TAs or Professors, call BCAL (the Behavior Concerns Advice Line) at (512) 232-5050. Your call can be anonymous. If something doesn't feel right – it probably isn't. Trust your instincts and share your concerns.

The following are recommendations regarding emergency evacuation from the Office of Campus Safety and Security, 512-471-5767, <http://www.utexas.edu/safety/>.

- Occupants of buildings on The University of Texas at Austin campus are required to evacuate buildings when a fire alarm is activated. Alarm activation or announcement requires exiting and assembling outside.
- Familiarize yourself with all exit doors of each classroom and building you may occupy. Remember that the nearest exit door may not be the one you used when entering the building.
- Students requiring assistance in evacuation shall inform their instructor in writing during the first week of class.
- In the event of an evacuation, follow the instruction of faculty or class instructors. Do not re-enter a building unless given instructions by the following: Austin Fire Department, The University of Texas at Austin Police Department, or Fire Prevention Services office.
- Link to information regarding emergency evacuation routes and emergency procedures can be found at: <http://emergency.utexas.edu/>.

Land Acknowledgement

We would like to acknowledge that we are meeting on Indigenous land. Moreover, we would like to acknowledge and pay our respects to the Carrizo & Comecrudo, Coahuiltecan, Caddo, Tonkawa, Comanche, Lipan Apache, Alabama-Coushatta, Kickapoo, Tigua Pueblo, and all the American Indian and Indigenous Peoples and communities who have been or have become a part of these lands and territories in Texas, here on Turtle Island.

UNIVERSITY RESOURCES FOR STUDENTS

Your success in this class is important to me. If there are aspects of this course that prevent you from learning or exclude you, please let me know as soon as possible. Together we will develop strategies to meet both your needs and the requirements of the course.

Personal or Family Emergencies

If you experience a personal or family emergency (death in the family, protracted sickness, serious mental health issues) you should contact [Student Emergency Services](#) in the Office of the Dean of Students. As advocates, SES supports students by providing the most comprehensive outreach, assistance, intervention, and referrals. They will also

work with you to communicate with me and your other professors and let them know of your situation.

Accessibility

The university is committed to creating an accessible and inclusive learning environment consistent with university policy and federal and state law. Please let me know if you experience any barriers to learning so I can work with you to ensure you have equal opportunity to participate fully in this course. If you are a student with a disability, or think you may have a disability, and need accommodations please contact Services for Students with Disabilities (SSD). Please refer to SSD's website for contact and more information: <http://diversity.utexas.edu/disability/>. If you are already registered with SSD, please deliver your Accommodation Letter to me as early as possible in the semester so we can discuss your approved accommodations and needs in this course.

The University Writing Center

The UWC provides free programs to support and empower all UT graduate students. UWC consultants provide one-on-one feedback on any project at any stage of your writing process. For group accountability and instruction, check out UWC monthly writing groups, workshops, and retreats.

The Sanger Learning Center

If you are looking to improve your study skills, writing skills, or public speaking skills you should take advantage of the Sanger Learning Center's classes and workshops, private learning specialist appointments, peer academic coaching, and tutoring.

Counseling and Mental Health Center

There are many helpful counseling and mental health resources available on campus and an important part of the college experience is learning how to ask for help. If you or anyone you know experiences any academic stress, difficult life events, or feelings like anxiety or depression, I strongly encourage you to seek support, including from the relevant university resources.

STUDENT RIGHTS AND RESPONSIBILITIES

- You have a right to a learning environment that supports mental and physical wellness.
- You have a right to respect.
- You have a right to be assessed and graded fairly.
- You have a right to freedom of opinion and expression.
- You have a right to privacy and confidentiality.
- You have a right to meaningful and equal participation.
- You have a right to learn in an environment that is welcoming to all people.

With these rights come responsibilities:

- You are responsible for taking care of yourself, managing your time, and communicating with the teaching team and with others if things start to feel out of control or overwhelming.
- You are responsible for acting in a way that is worthy of respect and always respectful of others.
- Your experience with this course is directly related to the quality of the energy that you bring to it, and your energy shapes the quality of your peers' experiences.
- You are responsible for creating an inclusive environment and for speaking up when someone is excluded.
- You are responsible for holding yourself accountable to these standards, holding each other to these standards, and holding the teaching team accountable as well.

Personal Names and Pronouns

Professional courtesy and sensitivity are especially important with respect to individuals and topics dealing with differences of race, culture, religion, politics, sexual orientation, gender, gender variance, and nationalities. Class rosters are provided to the instructor with the student's legal name unless they have added a "preferred name" with the Gender and Sexuality Center: <http://diversity.utexas.edu/genderandsexuality/publications-and-resources/>. I will gladly honor your request to address you by a name that is different from what appears on the official roster, and by the gender pronouns you use. Please advise me of any changes early in the semester so that I may make appropriate updates to my records. For instructions on how to add your pronouns to Canvas, visit <https://utexas.instructure.com/courses/633028/pages/profile-pronouns>

COURSE SCHEDULE

Week One (Tuesday, August 31, 2021)

Introduction and Overview of Records, Archives, and the Archival Profession
(introduction to the course; nature of the information professions; history of record traditions in the United States; nature of records professionals; importance of records to society; characteristics of records; evidential and informational value; records versus papers; nature of the archive, digital archive, and trusted digital repository; duties of the archivist; examples of bodies of records; models for records and recordkeeping)

Introduction to the *Group Processing Project*

- James M. O'Toole and Richard J. Cox, *Understanding Archives & Manuscripts*, Chapter 2: The History of Archives and the Archives Profession.
- Terry Cook, "Evidence, Memory, Identity, and Community: Four Shifting Archival Paradigms," *Archival Science* 13 (2-3) (2013): 95-120.

- Society of American Archivist, “Code of Ethics for Archivists” http://www2.archivists.org/statements/saa-core-values-statement-and-code-of-ethics#code_of_ethics
- First Archivists Circle, *Protocols for Native American Archival Materials* (2007/2019), https://www.sustainableheritagenetwork.org/system/files/atoms/file/Protocols_NAAS_markup_20190319.pdf
- Society of American Archivists Native American Archives Section. "Protocols Webinar Series (1): Building Relationships of Mutual Respect." Sustainable Heritage Network, www.sustainableheritagenetwork.org/digital-heritage/protocols-webinar-series-1-building-relationships-mutual-respect

Week Two (Tuesday, September 7, 2021)

Processing – Classification and Arrangement Part I (*intellectual control; activity of processing; history of archival concepts including provenance, respect des fonds and original order; the importance of context and evidence; connections to the PROV Data Model; levels of control - record group, subgroup, series, subseries, file; Australian series system; arrangement examples*)

Processing Project Groups are formed – discussion of next steps. Begin work on Group Processing Project – make sure to read the Processing Manual and appendices sections of the Manual!

- T. R. Schellenberg, *European Archival Practices in Arranging Records* (Washington: [U.S.] General Services Administration, National Archives and Records Service, 1975).
- Shelley Sweeney, “The Ambiguous Origins of the Archival Principal of Provenance,” *Libraries and the Cultural Record* 43 (2) (2008): 193-213.
- T. R. Schellenberg, “Archival Principles of Arrangement,” in *A Modern Archives Reader*, edited by Maygene F. Daniels and Timothy Walch, (Washington, D.C.: National Archives and Records Service, 1984), 149-161.
- Complete the online training module, “Teamwork Fundamentals” [1hr, 16 min].

Week Three (Tuesday, September 14, 2021)

Flipped Classroom: In Class Work on Arrangement (*arrangement lab and discussion of practicum processing assignment*)

- Read the biography of Samuel Goudsmit (available through Canvas).
- Oliver W. Holmes, “Archival Arrangement--Five Different Operations at Five Different Levels,” in *A Modern Archives Reader*, edited by Maygene F. Daniels and Timothy Walch (Washington, D.C.: National Archives and Records Service, 1984), 162-180.
- Frederick M. Miller, “Arrangement: Practices and Procedures,” *Arranging and Describing Archives and Manuscripts* (Chicago: Society of American Archivists, 1990), 69-78.

- Jennifer Meehan, “Making the Leap from Parts to Whole: Evidence and Inference in Archival Arrangement and Description,” *American Archivist* 72 (1) (Spring/Summer 2009): 72-90.

Week Four (Tuesday, September 21, 2021)

Processing – Classification and Arrangement Part 2 (includes discussion of Goudsmit arrangement and practicum ARPP document, processing conundrums, MPLP, capturing processing metrics, best practices for processing audio visual material)

ASSIGNMENT DUE: Goudsmit Arrangement

- Mark A. Greene and Dennis Meissner, “More Product, Less Process: Revamping Traditional Archival Processing,” *American Archivist* 68 (2) (Fall/Winter 2005): 208-263.
- Mary Lynn Ritzenthaler and Diane Vogt-O’Connor, Photographs: Archival Care and Management, “Photographs in Archival Collections” and “History of Photographic Processes.”
- *Guidelines for Efficient Archival Processing in the University of California Libraries* (2020), <https://escholarship.org/uc/item/4b81g01z>.

Week Five (Tuesday, September 28, 2021)

Processing – Description and Access (intellectual control; history, definitions, and objectives of archival description; relationship of arrangement and description; descriptive tools; federating archival description; linked open data; finding aids; components of finding aids; authority work; national and international descriptive standards – including RiC-CM and RiC-O)

- Jarrett M. Drake, “RadTech Meets RadArch: Towards a New Principle for Archives and Archival Description,” *Medium* (2016).
- *Archival Arrangement and Description*, Module 1: Standards for Archival Description and Module 3: Designing Descriptive and Access Systems.
- *Putting Descriptive Standards to Work*, Module 20: Sharing Archival Metadata.

Week Six (Tuesday, October 5, 2021)

Technology and the Transformation of Archival Description (DACS, archival workflow, database and markup technologies, ArchivesSpace, MARC)

- *DACS: Describing Archives: A Content Standard* - read the introduction and review parts I, and II, <https://saa-ts-dacs.github.io/>
- Also read the Report on the Revision of DACS Statement of Principles, March 2017 and the Statement of Principles.
- *Putting Descriptive Standards to Work*, Module 17: Implementing DACS.

- Look at “MARC 21 Concise Format for Bibliographic Data” available from the LOC website at <https://www.loc.gov/marc/bibliographic/>
 - Review the Library of Congress “*Understanding MARC Bibliographic Machine-Readable Cataloging*” tutorial available at <https://www.loc.gov/marc/umb/> and the “*Understanding MARC Authority Records*” at <http://www.loc.gov/marc/uma/>
- Open-Source Software Solutions: ArchivesSpace, <http://www.archivesspace.org/>

Week Seven (Tuesday, October 12, 2021)

Writing for the Profession (ethically and culturally responsible approaches to description – *Protocols for Native American Archival Material*)

ASSIGNMENT DUE: ARPP

- Michele Light and Tom Hyry, “Colophons and Annotations: New Directions for the Finding Aid,” *American Archivist* 65 (Fall/Winter 2002): 216-230.
- Petra Links, Reto Speck & Veerle Vanden Daelen, “Who Holds the Key to Holocaust-Related Sources? Authorship as Subjectivity in Finding Aids,” *Holocaust Studies* 22 (1) (2016): 21-43, DOI: 10.1080/17504902.2015.1118193
- Society of American Archivists Native American Archives Section. “Protocols Webinar Series (3): Providing Context through Centering Indigenous Voices.” Sustainable Heritage Network. www.sustainableheritagenetwork.org/digital-heritage/protocols-webinar-series-3-providing-context-through-centering-indigenous-voices
- SAA’s Archives in Context Podcast: Episode 5: Archives for Black Lives in Philadelphia’s Anti-Racist Description Working Group.

Week Eight (Tuesday, October 19, 2021)

Technology and the Transformation of Archival Description (EAD) (markup technologies, specifying elements in a document, EAD as a specific implementation of XML, DTDs and schemas, creating XML documents, delivering XML documents over the web, EAD in more detail (2002 and EAD3), XML authoring software, EAD tag library, EAC-CPF)

Practicum Meetings

- *Putting Descriptive Standards to Work*, Module 18: Using EAD3 and Module 19: Introducing EAC-CPF.
- EAD: Encoded Archival Description Official Site (EAD Official Site, Library of Congress) <http://www.loc.gov/ead/>
- Encoded Archival Description Tag Library, Version EAD3. <http://www.loc.gov/ead/EAD3taglib/index.html>

Week Nine (Tuesday, October 26, 2021)

Digital Archives, Technology, and Data (OAIS, archives and technology, computer history, archives and big data, Computational Archival Science, archives and small data,

pre-processing and arranging born digital material, systematic and non-systematic paths to acquiring material, digital forensics in the archive, examples of the work processes for born digital materials, making digital curation a systematic institutional function, skill set for the digital archivist)

- Trevor Owens, “Understanding Digital Objects” in *The Theory and Craft of Digital Preservation* (LIS Scholarship Archive, 2017).
- Ciaran B. Trace, “Beyond the Magic to the Mechanism: Computers, Materiality and What it Means for Records to Be “Born Digital,” *Archivaria* 72 (Fall 2011): 5-27.
- Corinne Rogers and Jeremy Leighton John, “Shared Perspectives, Common Challenges A History of Digital Forensics & Ancestral Computing for Digital Heritage,” In Luciana Duranti and Elizabeth Shaffer (Eds.), *The Memory of the World in a Digital Age: Digitization and Preservation Conference Proceedings* (Vancouver, British Columbia, Canada. 26-28 September 2012).
- *Archival Arrangement and Description*, Module 2: Processing Digital Records and Manuscripts.
- See additional resources on Canvas.

Week Ten (Tuesday, November 2, 2021)

Appraisal (*definitions, why appraise, knowledge required for appraisal, appraisal and records management, historical overview of appraisal theories from Meisner to Cook to postmodernism, participatory appraisal, reappraisal and de-accessioning, appraisal of audio-visual material, appraisal of born digital materials, intersection of digital tools and appraisal, environmental and ethical considerations in appraisal, culturally responsible approaches to appraisal*)

ASSIGNMENT DUE: XML/EAD

- Ole Kolsrud, “The Evolution of Basic Appraisal Principles - Some Comparative Observations,” *American Archivist* 55 (Winter 1992): 26-39.
- Carol Couture, “Archival Appraisal: A Status Report,” *Archivaria* 59 (Spring 2005): 83-108.
- Ciaran B. Trace, “On or Off the Record? Notions of Value in the Archive,” in *Currents of Archival Thinking*, eds. Terry Eastwood and Heather MacNeil (Santa Barbara, Calif.: Libraries Unlimited, 2010): 47-68.
- Katie Shilton and Ramesh Srinivasan, “Participatory Appraisal and Arrangement for Multicultural Archival Collections,” *Archivaria* (Spring 2007): 87-101.

Week Eleven (Tuesday, November 9, 2021)

Acquisition, Accessioning and Collection Development (*definitions; determining a collecting focus; collection development documents and policies; types of collecting; fieldwork; acquisition; collection development with born digital records; surveying records;*

working with donors; accessioning, acquiring and accessioning born-digital material; transfer process; ingest tools; micro-services; BitCurator & Archivematica)

ASSIGNMENT DUE: Inventory/Finding Aid

- Simson Garfinkel and David Cox. "[Finding and Archiving the Internet Footprint](#)," paper, presented at the First Digital Lives Research Conference: Personal Digital Archives for the 21st Century, London, England, 9–11 February 2009.
- Gabriela Redwine et al., *Born Digital: Guidance for Donors, Dealers, and Archival Repositories* (Council of Library and Information Resources, 2013).
- Julianna Barrera-Gomez and Ricky Erway, *Walk This Way: Detailed Steps for Transferring Born-Digital Content from Media You Can Read In-house* (OCLC Research, 2013).

Week Twelve (Tuesday, November 16, 2021)

Law and Ethics (*policies, sources of law, transferring ownership and rights, providing access to materials, right to know, use and intellectual property, traditional knowledge licenses, codes of ethics, history of code of ethics, issues in enforcing codes of ethics, core values, ethics and access issues with digital records*)

Flipped Classroom: In-Class Ethics Case Study

- Mary Lynn Ritzenthaler and Diane Vogt-O'Connor, Photographs: Archival Care and Management, "Legal and Ethical Issues of Ownership, Access, and Use."
- Randall C. Jimerson, "Archives for All: Professional Responsibility and Social Justice," *American Archivist* 70 (2) (Fall/Winter 2007): 252-281.
- Michelle Caswell and Ricky Punzalan, "Archives and Human Rights: Questioning Notions of Information and Access," in *Perspectives on Libraries as Institutions of Human Rights and Social Justice* (Bingley, United Kingdom: Emerald Insight Advances in Librarianship Series, 2016), 287-301.
- Sara Hodson, "In Secret Kept, In Silence Sealed: Privacy in the Papers of Authors and Celebrities," *American Archivist* 67 (2004): 194-211.

Week Thirteen (Tuesday, November 23, 2021)

Access and Reference (*definitions, access and user paradigm, types of access, barriers to access – knowing our history, users and use of archival materials, reference versus research, reference models, reference process, archival instruction, archival literacy, security, technology and reference, culturally responsible approaches to access, access to digitized and born digital material, archives and Web 2.0, visualization tools, crowdsourcing, K-12*)

- Ciaran B. Trace, "For Love of the Game: An Ethnographic Analysis of Archival Reference Work," *Archives and Manuscripts* 34 (1) (May 2006): 124-143.

- Wendy Duff and Allyson Fox, “You’re a Guide Rather than an Expert:” Archival Reference from an Archivist’s Point of View,” *Journal of the Society of Archivists* 27 (2) (October 2006): 129-153.
- John Hope Franklin, “Pursuing Southern History: A Strange Career,” in Winfred B. Moore et al. (eds), *Developing Dixie: Modernization in a Traditional Society* (Greenwood Press, 1988).
- Ashley Farmer, “Archiving While Black,” *Black Perspectives* (2018). <https://www.aaihs.org/archiving-while-black/>
 - Alternatively, you can listen to Dr. Farmer’s talk with ‘Archives in Context’ at <https://archivesincontext.archivists.org/2020/08/11/season-4-episode-3-ashley-farmer/>

Week Fourteen (Tuesday, November 30, 2021)

Course Wrap Up

ASSIGNMENT DUE: Final Inventory/Finding Aid
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ASSIGNMENT DUE: EAD Finding Aid
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ASSIGNMENT DUE: ‘My Contribution to the Processing Project’ Memo

Groups should come to class prepared to make an informal, oral presentation (10 minutes) about the semester processing project. Presentations may include:

- A brief overview of how your project aligned with the mission and vision of the repository
- A brief description of the collection and its importance
- An assessment of the potential audience for the collection
- A discussion of how your understanding of the collection changed
- A summary of the challenges you encountered in processing the collection and the strategies you devised during processing to solve these challenges and
- A brief description of how you would have processed this collection if you had been following MPLP guidelines.